

# Observation of Vehicle Axles Through Pass-by Noise: A Strategy of Microphone Array Design

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**Abstract**—This paper focuses on road traffic monitoring using sounds and proposes, more specifically, a microphone array design methodology for observing vehicle trajectory from acoustic-based correlation functions. In a former work, authors have shown that combining generalized cross correlation (GCC) functions and a particle filter onto the audio signals simultaneously acquired by two sensors placed near the road allows the joint estimation of the speed and the wheelbase length of road vehicles as they pass by. This is mainly due to the broadband nature of the tire/road noise, which makes their spatial dissociation possible by means of an appropriate GCC processor. At the time, nothing has been said about the best distance to chose between the sensors. A methodology is proposed here to find this optimum, which is expected to improve the observation quality and, thus, the tracking performance. Theoretical developments of this paper are partially assessed with preliminary experiments.

**Index Terms**—Acoustic signal processing, direction-of-arrival estimation, microphone arrays, particle filters, road vehicles, vehicle detection.

## I. INTRODUCTION

**R**OAD TRAFFIC monitoring (RTM) plays a key role in ensuring road safety, predicting traffic jams, measuring noise, assessing environmental impact on urban areas, etc. Among existing techniques, passive acoustic ones present the advantage of being nonintrusive, safe for health (no wave emission), and multiuse, i.e., different kinds of information may be extracted from the same observation, depending on the associated signal processing algorithm. That is why a large community of acoustic/signal processing researchers are working on the challenge of equaling or even outperforming the performance of active or intrusive technologies, based on the power of modern-day computing.

Since the mid-1990s, more and more attention has been paid to passive acoustic-based systems for traffic monitoring. In 1996, vehicle classification using wavelet decomposition of audio signals was investigated by Choe *et al.* [1]. In 1997,

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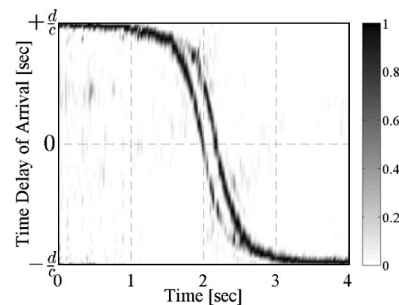


Fig. 1. Typical CCTS of a road vehicle pass-by (about 50 km/h).  $d$  is the intersensor distance and  $c$  is the speed of sound.

Chen *et al.* [2] and Forren and Jaarsma [3] independently investigated the detection problem using cross-correlation functions between sensors that are spatially disjointed. The counting problem was also handled by Brockman *et al.* in 1997 [4] and Kuhn *et al.* [5] in 1998, who deployed an autoregressive algorithm based on a pass-by spectrum model (one sensor) and a beamforming-based technique (80 sensors), respectively, to detect vehicle presence. Other kinds of counting techniques based on correlation and filters have emerged later [6]–[10]. The speed estimation problem has been also extensively addressed, e.g., in [11]–[16]. A recent trend consists in considering the pass-by noise as a measure of the energy consumption; in 2011, Can *et al.* successfully showed the correlation between emitted airborne pollutant and road traffic noise near a highway [17]. This is a brief overview of what information traffic noise can provide.

In this paper, we are interested in observing and estimating the wheelbase length of road vehicles using pass-by noise. The wheelbase estimation problem has been rarely addressed in the acoustic literature. However, it is an important feature for vehicle classification. In [16], Cevher *et al.* suggested a wave-pattern-based recognition algorithm enabling the joint speed and wheelbase estimation from a one-channel pass-by recording acquired on the roadside. Engine, tire, exhaust, and air turbulence noises are meticulously modeled, but the presence of interfering noises in the monitored area may limit its applicability. In a former work [18], we opted for a two-microphone-array-based procedure. The idea was to concatenate successive cross-correlation measurements and apply a particle filter (PF) to the obtained image where the position of each axle and their common speed were included in the state of the target. As an example of observation, Fig. 1 depicts what we called a Cross-Correlation Time Series (CCTS) of a vehicle pass-by (nearly 50 km/h) with two dimensions, i.e., Time Delay of Arrival (TDOA) versus Time. The TDOA refers to the time for the

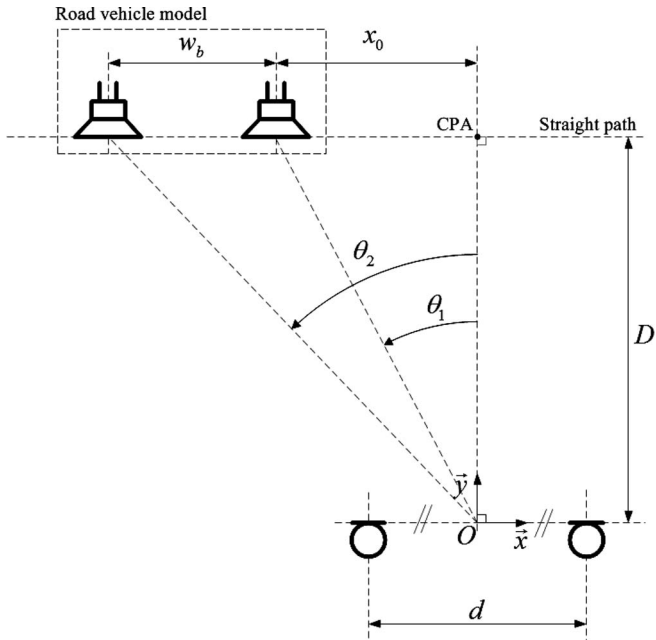


Fig. 2. Bimodal-sound-source model of a two-axle road vehicle. Wavefronts are acquired by a microphone array placed in parallel to the road lane at distance  $D$  from the vehicle CPA.

sound wave to travel from one microphone to the other; it is bounded by  $\pm d/c$ , where  $d$  is the intersensor distance and  $c$  is the speed of sound. Two traces, one per axle, are clearly distinct when the vehicle is in front of the array (i.e., TDOA = 0 ms). The slope of both traces is directly related to the vehicle speed, and their space is directly related to the wheelbase length. In [18], the authors showed the promising results of applying a Bayesian filter on such an observation, particularly for cases in which multiple vehicles pass each other in front of the two-element array. However, at the time, nothing was said about the microphone array aperture, which needs to be meticulously adjusted to provide the best observation (CCTS) as possible. Mathematically speaking, it is a well-known result that the optimal microphone arrangement for TDOA-based sound-source localization is the Platonic-shaped array surrounding the target [19], [20]. In the RTM context, such a geometry is difficult, if not impossible, to achieve. In the present case, we are looking for the optimal  $d$  (distance between the two sensors of the array) for which the two traces inherent to the rear and front axles in the CCTS are clearly depicted.

The remainder of this paper is structured as follows. In Section II, the objective and the theoretical background of the sound-source localization since time-delay estimates are introduced. In Section III, methods are proposed for finding minimal, maximal, and optimal intersensor distances. Preliminary experimental results are discussed in Section IV. A final discussion concludes this paper in Section V.

## II. PROBLEM DEFINITION

Let us consider the scenario depicted in Fig. 2. A two-element microphone array with intersensor distance  $d$  is placed on the roadside. Both microphones are placed at the same distance  $D$  from the road lane. Road vehicles are modeled as

two stochastic and identically distributed processes separated by the wheelbase length  $w_b$ . The distance between the closest point of approach (CPA) of the vehicle and the front axle is denoted  $x_0$ . Both axles are also identified by their respective direction of arrival (DOA)  $\theta_1$  and  $\theta_2$  on the array.

A commonly accepted approximation consists of saying that the mechanical noise predominates for vehicles running at low speed (below 50 km/h) and the tire/road noise predominates for vehicles running at higher speeds. However, over time, more and more modern cars make the tire/road noise always dominate even in a congested urban situation for constant speed driving [21]. The model in Fig. 2 therefore seems reasonable for a wide scope of scenario. In this paper, the speed is simply assumed to be a constant during the observation (nearly 1 s). We should also mention that one observation results from the concatenation of successive 30-ms audio frames processing during which the vehicle is considered as static.

### A. Signal Model

Let  $N$  be the number of zero-mean broadband and uncorrelated sound sources located at coordinate  $\mathbf{r}_n^s$ ,  $1 \leq n \leq N$ . Let  $x_1$  and  $x_2$  be the audio signals acquired by both microphones located at coordinates  $\mathbf{r}_1^m$  and  $\mathbf{r}_2^m$ , respectively. Without loss of generality, sensor 1 is taken as the reference microphone. Assuming an ideal free field, the homogeneous medium of propagation and no energy loss between the two sensors, i.e.,  $x_1$  and  $x_2$ , can be modeled as

$$x_1(t) = \sum_{n=1}^N s_n(t - \delta_n) + w_1(t) \quad (1)$$

$$x_2(t) = \sum_{n=1}^N s_n(t - \delta_n - \tau_n) + w_2(t) \quad (2)$$

where  $\delta_n$  is the time of flight between the  $n$ th source and the reference microphone;  $w_m$  is an additive measurement noise, considered as a wideband stationary zero-mean Gaussian process, uncorrelated both with the signals and the noise at other sensors; and  $\tau_n$  is the TDOA between both sensors of the  $n$ th incoming wavefront.

In the considered applied framework, the model, i.e., (1) and (2), is restricted to  $N = 2$ , where  $s_1(t)$  and  $s_2(t)$  are supposed to be the sounds produced by front and rear tire-asphalt interactions, respectively. Under far-field assumption, the wheelbase length  $w_b$  is related to sound sources TDOAs by

$$w_b = D(\tan \theta_2 - \tan \theta_1) \quad (3)$$

with

$$\theta_i = \arcsin\left(\frac{c\tau_i}{d}\right) \quad i \in [1, 2] \quad (4)$$

and where  $c$  is the speed of sound. After (3) and assuming that  $D$  and  $d$  are known, the wheelbase length estimation problem is turned into a time-delay estimation problem. The time-delay estimator on which we rely is presented in the next section.

## B. Time-Delay Estimation

It is a well-known result that, in the presence of a single broadband source, i.e.,  $N = 1$  in (1) and (2), the optimal estimator of  $\tau_1$  is the lag corresponding to the maximum value of the cross correlation between  $x_1(t)$  and  $x_2(t)$  [22]. In that case, one can also give an explicit expression of the Cramer–Rao lower bound (CRLB), which depends on the spectral bandwidth of the source and on the signal-to-noise ratio. If  $N > 1$ , the optimal estimator cannot be computed if the source spectrum is not exactly known. Consequently, two strategies can be considered, i.e., undertake a source identification process (requiring a high number of sensors to achieve a spatial filtering for instance) or derive a suboptimal estimator that will directly process the observations, considering that the signal-to-noise ratio is high enough. As the proposed approach implies two microphones only, we relied on the traditional generalized cross correlation (GCC) functions, which are suboptimal time-delay estimators but very popular for their robustness and weak computation requirements. They are expressed by [23]

$$R_{s_1 s_2}^g(\tau) = \int_{-\infty}^{+\infty} \psi_g(f) X_1(f) X_2^*(f) e^{2j\pi f\tau} df \quad (5)$$

where  $(\cdot)^*$  stands for the complex conjugate operator,  $f$  denotes the frequency (in hertz),  $X_1(f)$  (resp.  $X_2(f)$ ) is the Fourier transform of  $x_1(t)$  (resp.  $x_2(t)$ ),  $\tau$  stands for the time lag, and  $\psi_g(f)$  is a weighting function. For instance, setting  $\psi_g(f) = 1 \forall f$  turns expression (5) into the classical cross-correlation function. In the single-source case, an estimation of TDOA  $\tau_1$  is given by looking at the argument of the peak value of the GCC function, i.e.,

$$\hat{\tau}_1 = \arg \max_{\tau} R_{s_1 s_2}^g(\tau). \quad (6)$$

In order to accentuate the peak, different weighting functions were investigated in the literature regarding the acoustical conditions. In the sound-source localization community, one of the most successful processor is the phase transform weighting (PHAT). It is expressed by [23]

$$\psi_{\text{phat}}(f) = \begin{cases} \frac{1}{|X_1(f)X_2^*(f)|} & \text{if } |X_1(f)X_2^*(f)| \neq 0 \\ 0 & \text{otherwise.} \end{cases} \quad (7)$$

Heuristically developed in the middle of the 1970s, the GCC–PHAT function proved to perform very well under realistic acoustical conditions. Reasons for its success are numerous, i.e., its implementation is straightforward, no *a priori* knowledge of signal and noise is required, and it is more consistent than some other GCC members when the characteristics of the source change over time [24]. Also, it has been recently proven that, in case of high signal-to-noise ratio, the GCC–PHAT function is the optimal time-delay estimator in a maximum-likelihood sense, regardless of the amount of reverberation [25]. Aside from that, many comparative studies proved its robustness in the presence of multipath distortion (see, for instance, [26] and [27]).

After (5)–(7), the PHAT processor may be seen as a cross-power spectrum whitening [28] discarding any magnitude in-

formation contained in the audio signals. That makes it well adapted to cases in which pairwise amplitude differences cannot be used as a relevant feature for localization, typically when the microphone array has a small aperture in comparison with the distance to the source. However, the main problem is that any spatially coherent noise, even when lower in magnitude than the signal of interest, results in a spurious peak in the PHAT correlation function. Unfortunately, such a kind of noise may frequently occur in outdoor monitoring (industrial/agricultural noises, birds, pedestrian activity, etc.). One way to overcome this problem is to apply the PHAT transform on a predefined spectral band only. This is achieved using the *Bandpass-PHAT* (BPHAT) weighting. This processor was previously proposed for speaker localization by DiBiase in [29] or for water-pipe-leak localization by Gao *et al.* in [30] and [31]. It is defined as

$$\psi_{\text{bphat}}(f) = \begin{cases} \psi_{\text{phat}}(f) & \text{if } f_c - B_w/2 \leq |f| \leq f_c + B_w/2 \\ 0 & \text{otherwise} \end{cases} \quad (8)$$

where  $f_c$  and  $B_w$  denote the central frequency and the bandwidth on which the BPHAT transform is applied, respectively. To be effective, the spectral band on which the BPHAT is applied needs to be identical or within the bandwidth of the signal of interest. For the sake of simplicity, the perfect equality is assumed in this paper. According to (8) and (5), one can demonstrate that the closed-form expression of the GCC–BPHAT function for the single-source case is (see Appendix A)

$$R_{s_1 s_1}^{\text{bphat}}(\tau) = 2B_w \cos[2\pi f_c(\tau - \tau_1)] \text{sinc}[B_w(\tau - \tau_1)]. \quad (9)$$

For the two-sound-source case and under the assumption that each source delivers a zero-mean signal, uncorrelated with the other, one gets

$$R_{s_1 s_2}^{\text{bphat}}(\tau) = R_{s_1 s_1}^{\text{bphat}}(\tau) + R_{s_2 s_2}^{\text{bphat}}(\tau) \quad (10)$$

$$= 2B_w(A_1 + A_2) \quad (11)$$

with

$$A_k = \cos[2\pi f_c(\tau - \tau_k)] \text{sinc}[B_w(\tau - \tau_k)], \quad k \in [1, 2].$$

It may be noted that, regarding the application targeted for these developments, the noncorrelation of the two sources is a debatable assumption. Sounds coming from the axles of the same vehicle would be somewhat correlated (mechanical/structural connection between axles, identical speed and loading, etc.), but as a first approximation, cross-terms in the correlation measure are neglected in this paper.

## III. INTERSENSOR DISTANCE OPTIMIZATION

After (11), the characteristics of the peaks (width, emergence, and spacing) depend on the spectral properties of the sources ( $B_w, f_c$ ) and the geometrical parameters of the scene ( $x_0, D, w_b, d$ ). *In situ* distances  $D$  and  $d$  are the only adjustable parameters, except for normative measurements where  $D$  is imposed. The challenge therefore consists in finding the optimal  $d$  ensuring the best observation of the two traces in the BPHAT–CCTS. This is what is addressed in the following.

### A. CRLB

The CRLB defines the smallest variance than can be achieved by an unbiased estimator. It is based on the Fisher information matrix. For cases when the estimator depends on variable parameters, the CRLB enables their optimization.

Suppose that the parameter to estimate is  $w_b$  and the parameter to optimize is  $d$ . The available measurements are  $\tau_{12,1}$  and  $\tau_{12,2}$ , simply noted as  $\tau_1$  and  $\tau_2$  below, such that

$$\tau_1 = \hat{\tau}_1 + n_1 \quad (12)$$

$$\tau_2 = \hat{\tau}_2 + n_2 \quad (13)$$

where  $\hat{\tau}_j$  is an estimate of  $\tau_j$ , and  $n_j$  is a zero-mean Gaussian noise with variance  $\sigma_j^2$  denoting the uncertainty on the measurement, i.e.,  $j \in [1, 2]$ .  $\hat{\tau}_2$  can be expressed as a function of  $\hat{\tau}_1$  and  $w_b$ , i.e.,

$$\hat{\tau}_2 = f(\hat{\tau}_1, w_b). \quad (14)$$

After (3), it becomes

$$\theta_2 = \arctan\left(\frac{w_b}{D} + \tan\theta_1\right). \quad (15)$$

Replacing  $\theta_1$  and  $\theta_2$  by their expressions in (4) yields  $f$ , i.e.,

$$f(\hat{\tau}_1, w_b) = \frac{d}{c} \sin\left\{\arctan\left[\tan\left(\arcsin\left(\frac{c\hat{\tau}_1}{d}\right)\right) + \frac{w_b}{D}\right]\right\}. \quad (16)$$

The CRLB is defined as the inverse of the Fisher information matrix. The latter is given by [32]

$$F = A' \begin{pmatrix} \sigma_1^2 & 0 \\ 0 & \sigma_2^2 \end{pmatrix} A \quad (17)$$

where

$$A = \begin{pmatrix} \partial\tau_1/\partial\hat{\tau}_1 & \partial\tau_1/\partial w_b \\ \partial\tau_2/\partial\hat{\tau}_1 & \partial\tau_2/\partial w_b \end{pmatrix} \quad (18)$$

$$= \begin{pmatrix} 1 & 0 \\ \partial f/\partial\hat{\tau}_1 & \partial f/\partial w_b \end{pmatrix}. \quad (19)$$

The optimal  $d$  is the one that maximizes the determinant of  $F$  ( $D$ -optimality criterion) [33]. The determinant of  $F$  is given by

$$|F| = |A|^2 \sigma_1^2 \sigma_2^2. \quad (20)$$

Maximizing (20) is the same as maximizing  $|A|^2 = (\partial f/\partial w_b)^2$  with respect to  $d$ . This quantity is expressed by

$$\left(\frac{\partial f}{\partial w_b}\right)^2 = \underbrace{\left(\frac{d}{cD}\right)^2 \left(\frac{\left(w_b\sqrt{d^2 - c^2\hat{\tau}_1^2} + cD\hat{\tau}_1\right)^2}{D^2(d^2 - c^2\hat{\tau}_1^2)} + 1\right)}_{\xi}. \quad (21)$$

Let us consider the case where the vehicle is in the broadside direction (the more convenient case for wheelbase estimation). For this case,  $\hat{\tau}_1$  is very small. Since term  $\xi$  tends to a constant when  $\hat{\tau}_1$  tends to zero, it ensues that the larger the value of  $d$ , the better the estimate of  $w_b$  but also, in practice, the lower

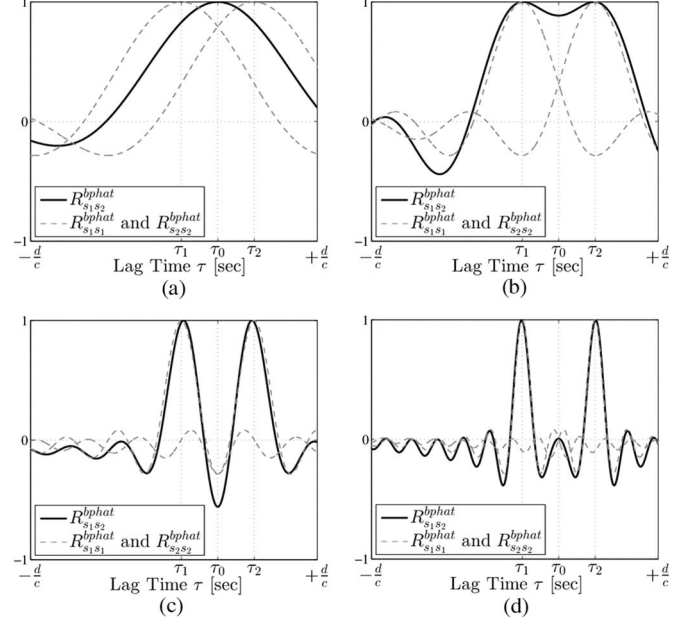


Fig. 3. Illustration of the additive effect problem as a function of the intersensor distance  $d$ . (a)  $d < d_{\min}$ . (b)  $d_{\min} < d \ll td_{\max}$ . (c)  $d_{\min} \ll d < d_{\max}$ . (d)  $d_{\max} < d$ .

the correlation between acquired signals. This highlights that a much more precise and realistic model than (12) and (13) needs to be found to find an analytical expression of the optimal  $d$  using the CRLB. As an alternative, we explore the role of  $d$  into the BPHAT correlation function using its closed-form expression (11).

### B. Minimal and Maximal Intersensor Distance

Because of the additive effect, due to the sum operator in (11), axes cannot be distinguished for very small values of  $d$  and phantom sources (spurious peaks) appear for very large values of  $d$ . Such an effect is depicted in Fig. 3. For all plots, the acoustic scenario (i.e.,  $f_c$ ,  $B_w$ ,  $D$ ,  $x_0$ , and  $w_b$ ) is the same,  $d$  being the only variable. The GCC-BPHAT function and the primary correlations are drawn in black and gray, respectively. The actual TDOAs  $\tau_1$  and  $\tau_2$  and their average value  $\tau_0$  are also represented. In Fig. 3(a),  $d$  is so small that it is impossible to predict the existence of the two sources. In Fig. 3(b), both peaks begin to appear since  $d$  has been increased. In Fig. 3(c),  $d$  has been increased again, and both peaks are clearly distinct. In Fig. 3(d),  $d$  has been increased again, and both peaks are well distinguished but one spurious peak appears at  $\tau_0$ .

As spurious peaks do not have any physical meaning here, it is always better to avoid them because of possible misinterpretations, particularly when it comes to estimating the number of axes during pass-by. Consequently, the intersensor distance should be limited to values between a minimal distance  $d_{\min}$ , above which both axes are distinct, and a maximal distance  $d_{\max}$ , below which no spurious peaks appear. Inspired by Fig. 3, the two peaks are distinct once  $R_{s_1s_2}^{\text{bphat}}(\tau)$  is locally convex around  $\tau_0$ , yielding an implicit expression of  $d_{\min}$ , i.e.,

$$d_{\min} = \arg \min_{d>0} (g_{\tau_0} > 0) \quad (22)$$

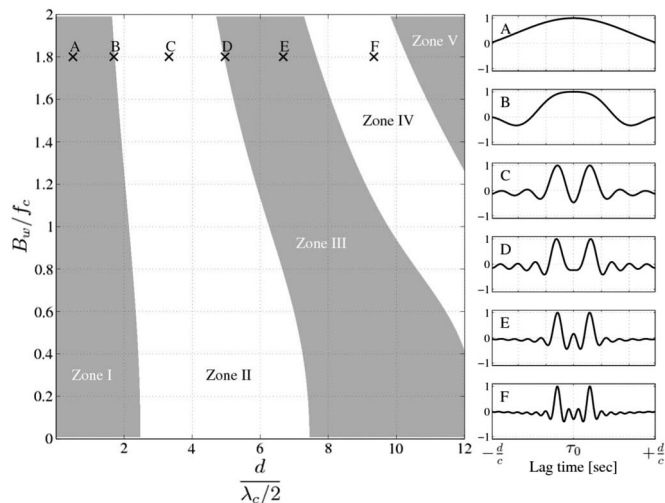


Fig. 4. Sign of  $g_{\tau_0}$  as a function of the spectral properties of the BPHAT transform ( $B_w, f_c, \lambda_c$ ) and the intersensor distance  $d$ . (Gray areas) Negative sign. (White areas) Positive sign.

where

$$g_{\tau_0} = \left. \frac{\partial^2 R_{s_1 s_2}^{\text{bphat}}(\tau)}{\partial \tau^2} \right|_{\tau_0}. \quad (23)$$

Similarly, the condition for avoiding a central spurious peak is that  $R_{s_1 s_2}^{\text{bphat}}(\tau)$  is not convex around  $\tau_0$  for larger values of  $d$ . An implicit expression of  $d_{\max}$  is therefore

$$d_{\max} = \arg \min_{d > d_{\min}} (g_{\tau_0} < 0). \quad (24)$$

To conclude, domain  $[d_{\min}, +\infty[$  defines what one can call a *range of bimodality detection*, i.e., the set of intersensor distances for which the two peaks are observable. However, in order to avoid central spurious peaks, one needs to restrict this range to  $[d_{\min}, d_{\max}]$ . We called this domain the *range of undistorted bimodality* (RUBI).

### C. RUBI

According to (22) and (24) and considering a given acoustic scenario, the sign of  $g_{\tau_0}$  may be expressed as a function of both the spectral properties of the BPHAT transform ( $B_w, f_c$ ) and the intersensor distance  $d$  due to (11), (22), and (24). This is what Fig. 4 illustrates. The vertical and horizontal axes have been specifically chosen for the sake of generalization so that spectral values are not necessarily acoustic values. This is the reason why  $d$  is normalized by the halved central wavelength  $\lambda_c = c/f_c$ . This plot has been generated using arbitrary geometrical parameters, i.e.,  $w_b = 2.47$  m,  $D = 6.3$  m, and  $x_0 = 0$  m. Dark zones (resp. white zones) correspond to a negative sign (resp. positive sign) of  $g_{\tau_0}$ . The six plots on the right in Fig. 4 show the GCC–BPHAT at different points of the abacus (A, B, C, D, E, and F).

Let us apply the BPHAT transform into the bandwidth of 250–4750 Hz, i.e.,  $B_w/f_c = 1.8$ . This bandwidth has been empirically chosen, but any other one can be considered, depending on the application, and without undermining the theory

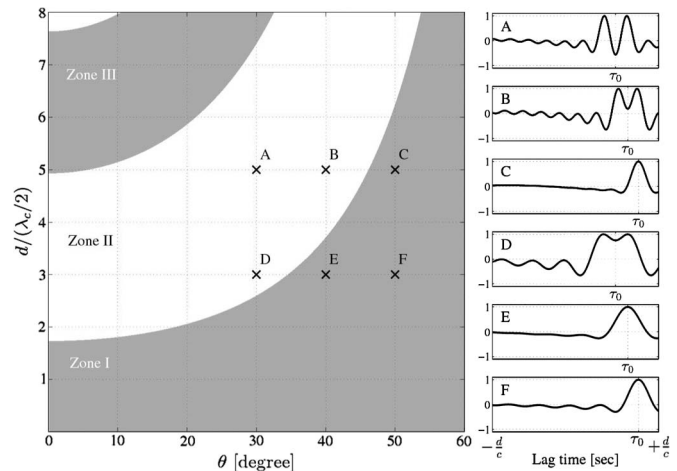


Fig. 5. Sign of  $g_{\tau_0}$  as a function of  $d$  (normalized by the halved wavelength) and the vehicle DOA  $\theta$  in degrees. Ratio  $B_w/f_c$  is set to 1.8.

described hereafter. In zone I, the two peaks are undetectable (point A). They begin to appear at the boundary between zones I and II (point B). The two peaks are clearly distinct in the middle of zone II (point C). Then, in zones III, IV, and above, secondary lobes appear around  $\tau_0$  (points D, E, and F). Thus, in this example, the RUBI is delimited by B and D, and the optimal distance  $d_{\text{opt}}$  is somewhere within this range.

In Fig. 5, the same scenario as aforementioned is considered, except that the variable is now the DOA  $\theta$  of the center of the vehicle (at coordinate  $[x_0 + w_b/2, D]$ ) instead of ratio  $B_w/f_c$ ; the latter is fixed here to 1.8 for the whole plot. By considering zone II, one can see that the opening angle in which bimodality is observable is more or less wide depending on  $d$ . For instance, setting  $d = 5\lambda_c/2$  allows the observation of the two peaks (i.e., axles here) on an angle range of about  $90^\circ$  ( $\pm 45^\circ$ ) as depicted by points A, B, and C. Reducing  $d$  to  $3\lambda_c/2$  will reduce the observation area to nearly  $70^\circ$  ( $\pm 35^\circ$ ), as depicted by points D, E, and F.

### D. Optimal Intersensor Distance

In passive acoustic outdoor monitoring, observations are frequently corrupted because of interfering noises. Multiple vehicles may also be present in the monitoring zone, which means that the estimation of the hidden values of each vehicle (position, speed, and wheelbase) had to be processed in parallel. Consequently, one good option is to rely on the Bayesian theory. In the former work [18], the successive correlation measurements are filtered by a PF. Particle filtering, also known as the sequential Monte Carlo method, is a successful technique to recursively estimate hidden states of nonlinear non-Gaussian dynamical systems [34]. The mathematical framework of the particle filtering is not detailed here, but for a good introduction, the interested reader is referred to appropriate papers such as [35] and [36]. To briefly summarize, one particle is composed of a state value, i.e., a hypothesis, and an associated weight, i.e., the *probability* that this hypothesis is true regarding the observation. Recursively, each particle state is propagated by following an *a priori* dynamic model disturbed by stochastic

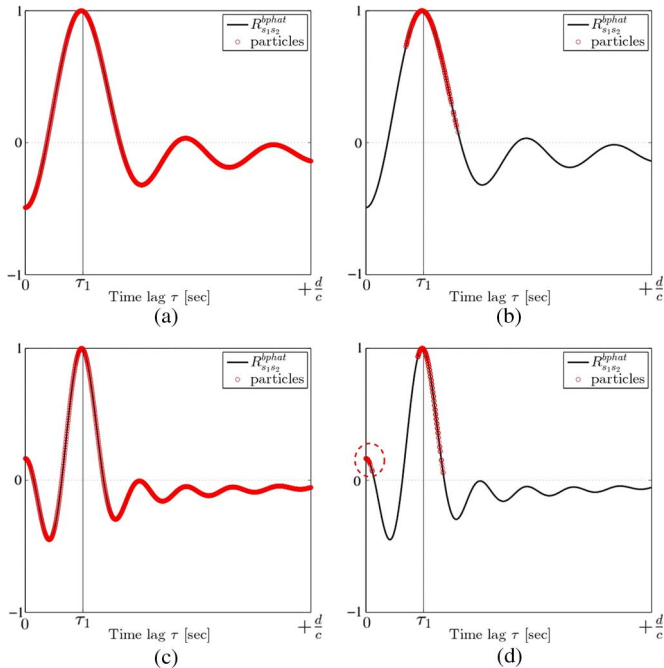


Fig. 6. Effect of a spurious peak on the particles distribution.

noise, and the associated weights are updated according to the observation. The more the state of a particle matches with the observation, the heavier the weight associated to this particle, and the more this particle is duplicated in favor of the lighter ones. The number of particles stays constant during all the observations.

In this paper, we are looking for the optimal intersensor distance  $d_{\text{opt}}$ , which we define as the minimal intersensor distance  $d > d_{\text{min}}$ , which guarantees the best time-delay estimates by particles. This choice is driven by our objective of using a lightweight, portable, movable, and small device while also limiting the relative Doppler effect between sensors affecting the correlation measurement. For this, the percentage error of the particle estimates w.r.t. to the actual TDOA is assessed as a function of  $d$  and after a single resampling.

An illustrative example of the process is depicted in Fig. 6. Let us consider a theoretical GCC-BPHAT function with two opposite TDOAs  $\tau_1$  and  $-\tau_1$ , and  $f_c = 2500$  Hz,  $B_w = 1.8f_c$ ,  $w_b = 2.47$  m,  $D = 6.3$  m, and  $x_0 = w_b/2$ . This function is symmetrical w.r.t. 0; this is why only the positive part (in black) is represented. In Fig. 6(a) or (b), the shape of the correlator is typical of an appropriate intersensor distance, whereas in Fig. 6(c) or (d),  $d > d_{\text{max}}$ ; therefore, a central spurious peak (at  $\tau = 0$ ) appears. At initialization [see Fig. 6(a) and (c)], particles are uniformly distributed on the observation. After one resampling, they coalesce around the target value in Fig. 6(b), which is what is expected. However, in Fig. 6(d), some particles are “attracted” by the spurious peak. In that case, the convergence is not as efficient as for the previous case because both the percentage error and the standard deviation are higher.

In fact, Fig. 6 depicts the particles distribution of one run when using two different  $d$ . The idea is to explore the statistical behavior of the particle filtering algorithm over a high number

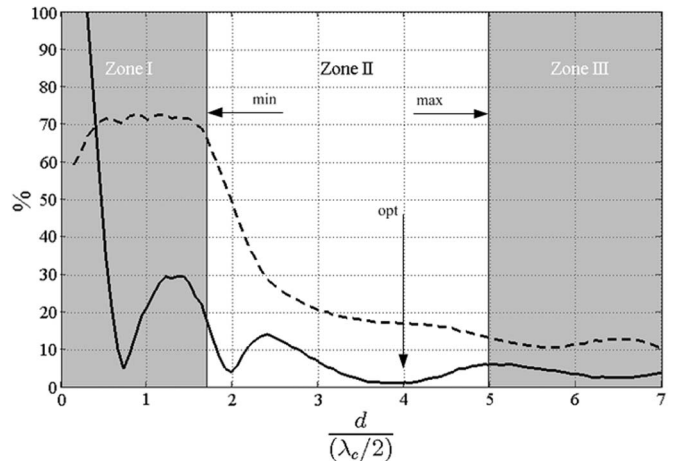


Fig. 7. (Thick line) Global percentage error and (dashed line) coefficient of variation of TDOA estimation as a function of  $d$ , both expressed in percent.

of runs (e.g., 200) and for a large set of potential  $d$ . This procedure is explained in greater detail below.

- 1) Initialize 100 particles uniformly on the whole states space of physically possible time delays [like in Fig. 6(a) or (c)].
- 2) Compute the likelihood of the particles (correlation amplitude).
- 3) Update the particles once using, for instance, the multinomial resampling technique described in [37] [like in Fig. 6(b) or (d)].
- 4) Compute and store in memory the percentage error and the coefficient of variation of the particles w.r.t. to the actual time delay to estimate.
- 5) Reiterate procedures 1, 2, and 3 for 200 times, and deduce the mean percentage error and the relative standard deviation of the particles distribution w.r.t. to the actual time delay to estimate.
- 6) Reiterate procedure 4 for each tested  $d$ .

The result is depicted in Fig. 7. As previously demonstrated, zone I should not be considered because of the nonobservability of the two peaks ( $d < d_{\text{min}}$ ). The global mean percentage error and the global coefficient of variation are logically high in this area. From the beginning of zone II (RUBI), both the accuracy and the repeatability of the estimator increase. As predicted by the Fisher information matrix, the general trend is that, the larger the intersensor distance, the better the estimate. However, with the proposed approach, one local minimum appears within the RUBI suggesting that setting  $d = 2\lambda_c < d_{\text{max}}$  provides a better estimator than setting  $d = d_{\text{max}}$ . Hence, by integrating both the analytical model of the correlation measure and the Monte-Carlo-based tracking process in the optimization procedure, a much more adapted design is obtained in comparison with deriving the CRLB.

## IV. PRELIMINARY EXPERIMENTS

### A. Experiment 1: Wheelbase Observation Quality

A preliminary experiment has been carried out to confront the theoretical RUBI with an *in situ* measurement. The experiment consists in acquiring the signal radiated by two moving

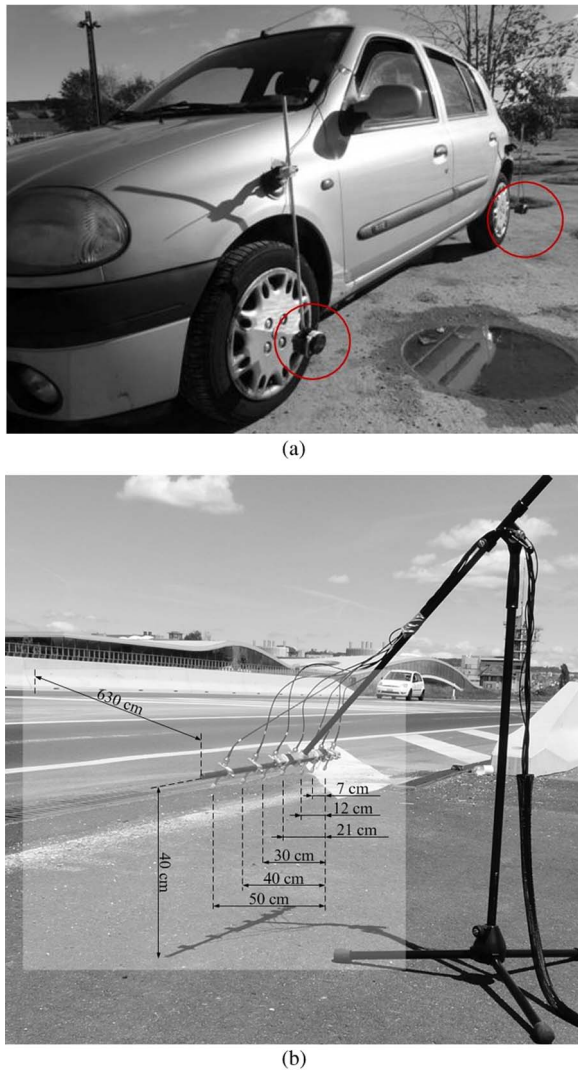


Fig. 8. First experimental setup. (a) Car equipped with two loudspeakers. (b) Linear array of microphones.

and uncorrelated white noises using microphone pairs of different length. In order to be quite close to one realistic scenario and also for simplicity of implementation, loudspeakers have been fixed on a car, in front of the wheels, as shown in Fig. 8(a). The gain of the loudspeakers was sufficiently high for masking the own tire/road noise of the vehicle. The wheelbase of the car was of  $w_b = 2.47$  m. A linear array was disposed on the roadside at a height of 40 cm and at a distance  $D = 6.3$  m to the loudspeakers during pass-by. The array was composed of seven microphones, allowing pairs of different apertures ranging from 7 to 50 cm [see Fig. 8(b)]. The vehicle speed was nearly 60 km/h during the measurement. The recording was collected on the École Polytechnique Fédérale de Lausanne (EPFL) Campus (lat.  $46^{\circ}31'7.74''N$ , long.  $6^{\circ}33'56.39''E$ ). The location was free for reverberation but quite noisy because of a demolition site 150 m away and a light wind. The sky was clear, and the temperature was  $17^{\circ}C$ . For each pair of sensors, one BPHAT-CCTS image ( $B_w/f_c = 1.8$  and  $f_c = 2500$  Hz) was computed. Some examples are depicted in Fig. 9. Bold (resp. thin) dashed vertical lines delimit the period of time during which the vehicle is in

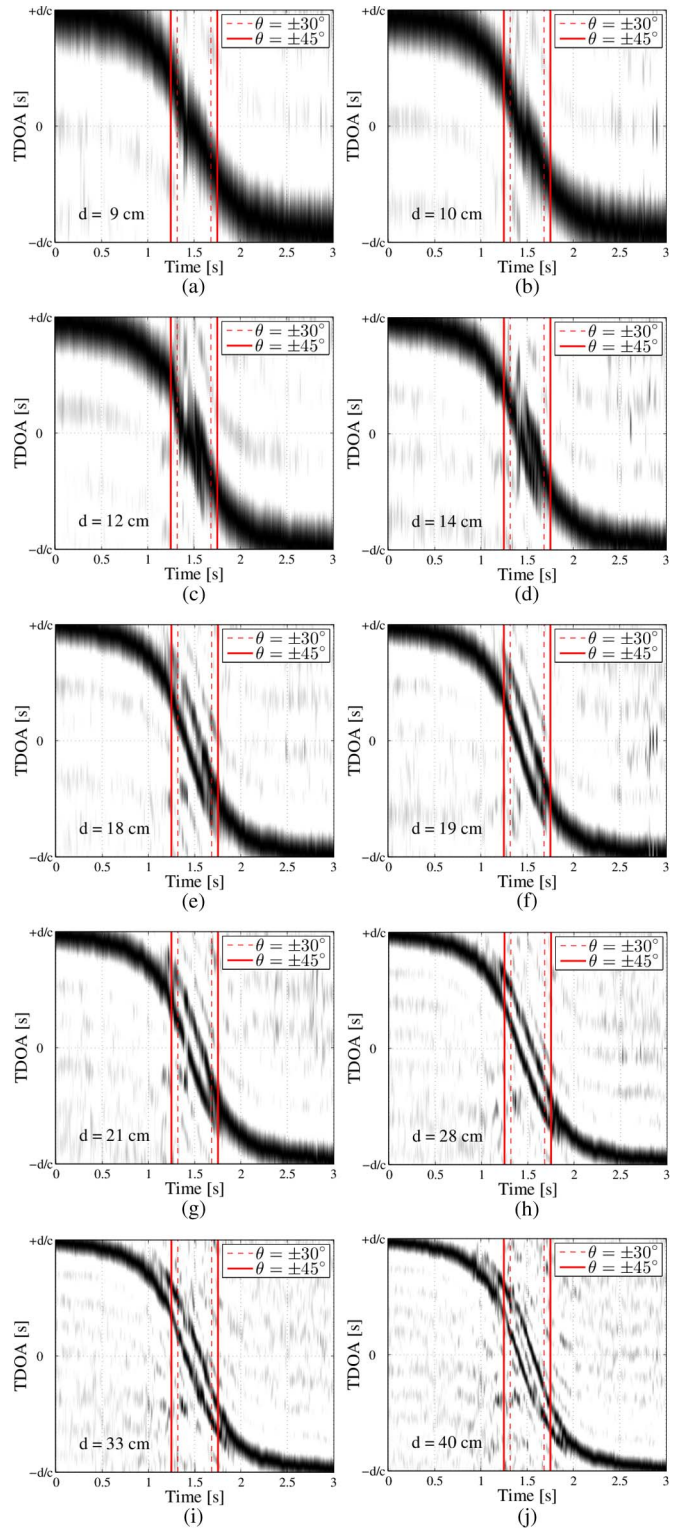


Fig. 9. GCC-BPHAT time series of the same vehicle pass-by using different array apertures.

the  $60^{\circ}$  opening angle ( $-30^{\circ} \leq \theta \leq +30^{\circ}$ ) [resp.  $90^{\circ}$  opening angle ( $-45^{\circ} \leq \theta \leq +45^{\circ}$ )].

In Fig. 4, the minimal intersensor distance respects equality  $d/(\lambda_c/2) \approx 1.8$ , i.e.,  $d_{\min} \approx 12$  cm in the present case. In Fig. 9(a) and (b),  $d$  is equal to 9 and 10 cm, respectively. As expected, front and rear axles are not dissociated at all. In

Fig. 9(c),  $d$  is equal to 12 cm, and one can perceive the very beginning of the separation of the two traces. This is confirmed in Fig. 9(d) and (e) in which  $d$  is equal to 14 and 18 cm, respectively. In Fig. 5, the minimal distance enabling the dissociation of axles over an opening angle of  $60^\circ$  respects equality  $d/(\lambda_c/2) \approx 2.8$ ,  $d \approx 19$  cm. This is a rather good prediction regarding Fig. 9(f) and (g) in which  $d$  is equal to 19 and 21 cm, respectively; the traces are well separated between the two bold dashed lines. Similarly, covering an opening angle of  $90^\circ$  requires  $d$  to be 31 cm. However, such an objective is actually achieved for a lower intersensor distance, e.g., in Fig. 9(h) with  $d$  equal to 28 cm. In Fig. 4, the maximal intersensor distance respects equality  $d/(\lambda_c/2) \approx 5$ , i.e.,  $d_{\max} \approx 34$  cm in the present case. This is clearly demonstrated by inspecting Fig. 9(i) for which  $d = 33$  cm and Fig. 9(j) for which  $d = 40$  cm, that in the first case, no spurious peak appears between both traces, in opposition to the second case in which a third “phantom axle” appears between the two actual ones. Finally, in Fig. 7, the optimal intersensor distance respects equality  $d/(\lambda_c/2) \approx 4$ , i.e.,  $d_{\text{opt}} \approx 27$  cm. Indeed, one can conclude that the best contrast is achieved for  $d = 28$  cm in this test, as shown in Fig. 9(h).

### B. Experiment 2: Wheelbase Length Estimation

A second measurement was carried out on the Route Cantonale of Ecublens, near the EPFL campus (lat.  $46^\circ 31' 0.28''$ N, long.  $6^\circ 33' 50.41''$ E). A two-element microphone array was set up on the roadside at a height of 84 cm and at an average distance of  $D = 2.5$  m to the vehicles closest wheels. The optimal intersensor distance provided by the presented method is  $d_{\text{opt}} = 20.4$  cm; thus, we opted for  $d = 20$  cm. The scene was continuously filmed by two cameras, one placed on the road side near the radar to get a view of the sides of all the vehicles and another placed on the balcony of a nearby building to get a more global view of the scene. Both devices produced a video at 30 frames per second. Fig. 10 depicts the views provided by both cameras and the location of the microphone array. Only the right-hand traffic lane is considered in this experiment, namely, the lane where a black vehicle is present in these pictures. Audio and video signals are synchronized offline. A homemade detection algorithm through successive image differences in the square in Fig. 10 returned the time of apparition of each new vehicle in this lane. The recording takes 240 s. The sampling rate is  $f_s = 51.2$  kHz. During this time, 24 vehicles were detected. The brand and the model of each vehicle were identified so that their actual wheelbase length is assumed to be known.

The whole CCTS is constituted of the successive GCC-BPHAT function applied on short audio frames of length  $N_s = 2048$  samples (40 ms), 75% overlapped (30 ms). For each passage, the speed and the wheelbase length are estimated using the bimodal PF proposed in [18] with 5000 particles. Performances are averaged over 200 runs.

Results are depicted in Fig. 11. The acoustic estimates (circles) are compared with the actual ones (crosses), and their absolute differences are represented by a bar chart below. The *a priori* wheelbase length (2.25 m) has been also represented by

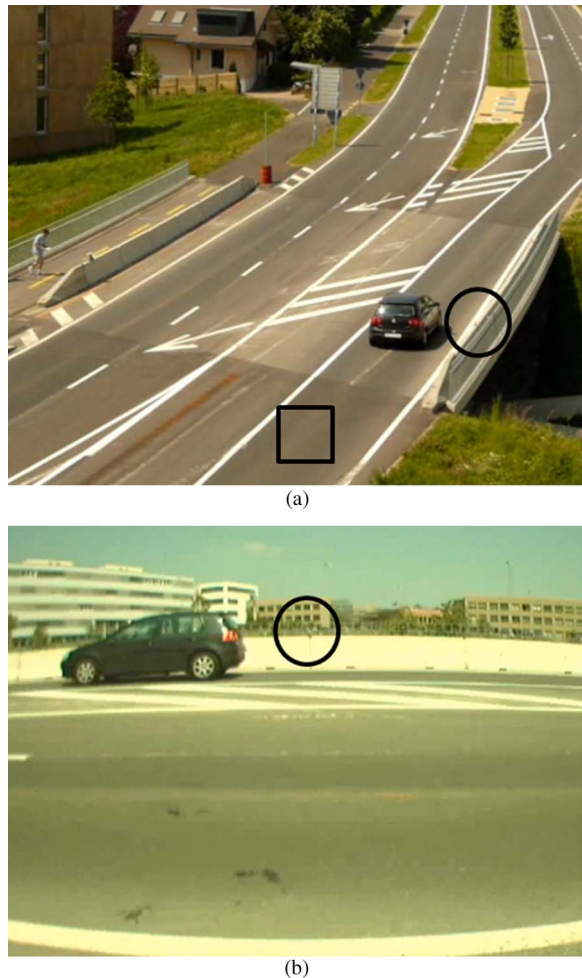


Fig. 10. Second experimental setup viewed (a) from above and (b) from the side. The location of the microphone array is highlighted by a black circle. Incoming vehicles are detected after an image difference-based algorithm inside the black square in (a).

a horizontal dashed line. For clarity, actual wheelbase lengths have been sorted in ascending order. We should mention that the value of the *a priori* wheelbase length has been arbitrarily chosen to demonstrate the robustness of the proposed strategy, as such value is quite far from most actual lengths.

Despite an *a priori* wheelbase length far below the actual ones, the estimates are pretty good for wheelbase lengths varying between 2.4 and 2.8 m. When the axles are poorly observed, the final result tends to be close to the *a priori* value. This is the case for vehicles 16 and 21, which are, in fact, motorbikes. For such vehicles, the tire/road noise is dominated by the exhaust noise so that only one trace appears in the CCTS, making the wheelbase length estimation impossible. The estimates are also poor for cars 2, 12, and 17 since their wheelbases are too distant from the *a priori* value. After excluding the two motorbikes from the database, as they are considered out of context, we obtained an error less than or equal to 30 cm for 19 out of 22 cases. It is worth noting that these results not only depend on the quality of the observation (which has been optimized according to the methodology described in this paper) but also on the detection strategy (defining the initial conditions of the target) and PF parameters (number of particles, noise



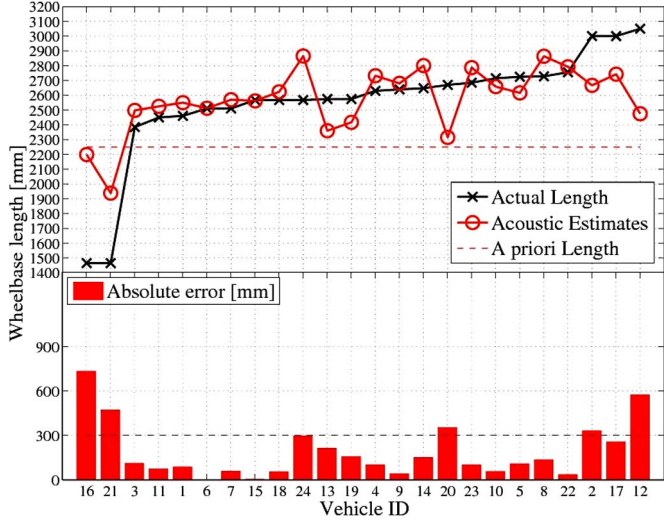


Fig. 11. Confrontation between actual and acoustic wheelbase estimates as a function of the vehicle ID when using two microphones.

covariance matrix, dynamical model, likelihood model, etc.). Therefore, much better scores can be expected by also optimizing these two other aspects.

## V. CONCLUSION

In this paper, we have confirmed that a well-designed pair of microphones, placed on the roadside, enables the wheelbase length of two-axle road vehicles to be estimated during pass-by. This paper has been related to the way of optimizing the intersensor distance to improve the cross-correlation-based observations of both axle trajectories. The wheelbase length estimation problem is primarily a time-delay estimation problem. Due to the additive effect occurring in the cross correlation in the presence of more than one source, the model, i.e., (12) and (13), appears to be too simplistic compared with simulated and experimental results, since the variance and the error of time-delay estimates do not quadratically evolve with the intersensor distance. A heuristic methodology of the design has been therefore proposed consisting in the following: 1) expressing the closed-form expression of the observation; 2) defining a range within which the intersensor distance must be contained; 3) filtering the modeled observation with a sequential Monte Carlo method for each intersensor distance within this range; and 4) looking at which candidates yield the most accurate and repeatable time-delay estimates.

Experimental measurements have been designed to confirm the difficulties and to validate the proposed approach. In particular, a preliminary test of the wheelbase length estimation on 22 unknown vehicles passing by has been carried out, after following the proposed array design methodology. An error of less than 30 cm was obtained in 86% of the cases, i.e., less than the size of a wheel, which is rather promising since only two sensors have been used.

The acoustic-based wheelbase estimation is still in its infancy, but even better results can be expected over the coming years. Further research is likely to improve the observation quality using an array with more than two sensors to exploit the redundant information between sensor pairs.

## APPENDIX A CLOSED-FORM EXPRESSION OF THE GCC-BPHAT FUNCTION IN THE SINGLE-SOURCE CASE

Without noise and under free-field conditions, the signal acquired by one sensor is a delayed version of the signal acquired by the other sensor, such that

$$x_2(t) = x_1(t + \tau_1). \quad (25)$$

Equation (25) may be translated to the frequency domain by

$$X_2(f) = X_1(f)e^{+2j\pi f\tau_1} \quad (26)$$

where  $X_i(f)$  and  $x_i(t)$  are related by the Fourier and inverse Fourier transforms according to the conventions, i.e.,

$$X_i(f) = \int_{-\infty}^{+\infty} x_i(t)e^{-2j\pi ft} dt \quad (27)$$

$$x_i(t) = \int_{-\infty}^{+\infty} X_i(f)e^{+2j\pi ft} df. \quad (28)$$

Substituting (26) into the expression of the GCC (5) with  $\psi_g(f)$  replaced by the BPHAT weighting  $\psi_{\text{bphat}}(f)$  (8) gives

$$\begin{aligned} R_{s_1 s_2}(\tau) &= \int_{-\infty}^{+\infty} \frac{X_1 X_1^*}{|X_1 X_1^*|} e^{2j\pi f(\tau - \tau_1)} df, \\ &= \int_{-f^+}^{-f^-} e^{2j\pi f(\tau - \tau_1)} df + \int_{f^-}^{f^+} e^{2j\pi f(\tau - \tau_1)} df \end{aligned} \quad (29)$$

$$= 2\mathcal{R} \left[ \underbrace{\int_{f^-}^{f^+} e^{2j\pi f(\tau - \tau_1)} df}_A \right] \quad (30)$$

where  $f^- = B_w - f_c/2$ ,  $f^+ = B_w + f_c/2$ , and  $\mathcal{R}[\cdot]$  is the real-part operator. Furthermore

$$A = \frac{e^{2j\pi f^+(\tau - \tau_1)} - e^{2j\pi f^-(\tau - \tau_1)}}{2j\pi(\tau - \tau_1)} \quad (31)$$

$$= \frac{e^{2j\pi(f_c + B_w/2)(\tau - \tau_1)} - e^{2j\pi(f_c - (B_w/2))(\tau - \tau_1)}}{2j\pi(\tau - \tau_1)} \quad (32)$$

$$= \frac{e^{2j\pi f_c(\tau - \tau_1)} \sin(\pi B_w(\tau - \tau_1))}{\pi(\tau - \tau_1)} \quad (33)$$

$$= B_w e^{2j\pi f_c(\tau - \tau_1)} \text{sinc}[B_w(\tau - \tau_1)]. \quad (34)$$

Substituting (34) into (30) yields expression (9).

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